

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TEXAS
MARSHALL DIVISION

NETLIST, INC.

Plaintiff,

v.

SAMSUNG ELECTRONICS CO., LTD, et al.

Defendants.

Civil Case No. 2:22-cv-00293-JRG
(Lead Case)

JURY TRIAL DEMANDED

NETLIST, INC.

Plaintiff,

v.

MICRON TECHNOLOGY TEXAS, LLC, et al.

Defendants.

Civil Case No. 2:22-cv-00294-JRG
(Member Case)

JURY TRIAL DEMANDED

SAMSUNG’S ANSWER TO NETLIST’S THIRD AMENDED COMPLAINT

Defendants Samsung Electronics Co., LTD (“SEC”), Samsung Electronics America, Inc. (“SEA”), and Samsung Semiconductor, Inc. (“SSI”) (collectively, “Samsung”) hereby answer the Third Amended Complaint (Dkt. No. 100) filed by Plaintiff Netlist, Inc. (“Netlist” or “Plaintiff”).

Samsung denies the allegations and characterizations in Netlist’s Third Amended Complaint unless expressly admitted in the following numbered paragraphs, which correspond to the numbered paragraphs in the Third Amended Complaint. Further, to the extent that Netlist’s allegations set forth legal conclusions, no response is required.

1. Samsung denies that Plaintiff's pleading is "its Second Amended Complaint" against Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., and Samsung Semiconductor, Inc. Samsung lacks knowledge or information sufficient to form a belief about the truth of the remaining allegations in Paragraph 1 of the Third Amended Complaint, and therefore denies them.

2. Samsung admits this action purports to involve U.S. Patent Nos. 7,619,912 (the "'912 patent,"), 11,093,417 (the "'417 patent,"), 9,858,215 (the "'215 patent,"), and 10,268,608 (the "'608 patent") (collectively, the "Patents-In-Suit"). Samsung admits that purported copies of the '912 patent, the '417 patent, and the '215 patent are attached to the Third Amended Complaint as Exhibits 1, 2, and 3, respectively.

THE PARTIES¹

3. Samsung admits that Netlist is a corporation organized and existing under the laws of the State of Delaware. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 3 of the Third Amended Complaint, and therefore denies them.

4. Samsung admits that SEC is the parent corporation of SEA. Samsung admits that SEC is a corporation organized and existing under the laws of the Republic of Korea, with its principal place of business at 129, Samsung-ro, Yeongtong-gu, Suwon-si, Gyeonggi-do, 443-742, Republic of Korea. Samsung denies Netlist's allegation of "this Second Amended Complaint" and denies all allegations of patent infringement, and further denies that Netlist is

¹ Samsung repeats the headings set forth in the Third Amended Complaint to simplify comparison of the Third Amended Complaint and this response. In doing so, Samsung makes no admissions regarding the substance of the headings or any other allegations of the Third Amended Complaint. Unless otherwise stated, to the extent that a particular heading can be construed as an allegation, Samsung specifically denies all such allegations.

entitled to any relief for its allegations of patent infringement. The remaining allegations in Paragraph 4 are vague and incomplete and Samsung denies them on that basis.

5. Samsung admits SEA is a corporation organized and existing under the laws of New York. Samsung admits that SEA maintains an office at 6625 Excellence Way, Plano, Texas 75023 and that its registered agent is CT Corporation System, located at 1999 Bryan Street, Suite 900, Dallas, Texas 75201. Samsung further admits that SEA is a wholly owned subsidiary of SEC. Samsung denies any remaining allegations of Paragraph 5. The remaining allegations in Paragraph 5 are vague and incomplete and Samsung denies them on that basis.

6. Samsung admits that SSI is a corporation organized and existing under the laws of California. Samsung admits that SSI's registered agent is National Registered Agents, Inc., 1999 Bryan St., Dallas, TX 75201. Samsung further admits that SSI is a wholly owned subsidiary of SEA. The remaining allegations in Paragraph 6 are vague and incomplete and Samsung denies them on that basis.

7. Samsung admits that it has sold certain products within the United States. The remaining allegations in Paragraph 7 are vague and incomplete and Samsung denies them on that basis.

JURISDICTION AND VENUE

8. To the extent that the allegations of Paragraph 8 set forth legal conclusions, no response is required. Samsung admits that this Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1338 in that this action arises under the patent laws of the United States, 35 U.S.C. Title 35 § 101 *et seq.*, but denies that Netlist's claims are meritorious. Samsung denies all allegations of patent infringement, and further denies that Netlist is entitled to any relief for its allegations of patent infringement.

9. To the extent that the allegations of Paragraph 9 set forth legal conclusions, no response is required. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations of Paragraph 9, and therefore denies them.

10. To the extent that the allegations of Paragraph 10 set forth legal conclusions, no response is required. Samsung denies that SSI makes the Accused Instrumentalities in the State of Texas or the Eastern District of Texas. Samsung denies that SEA makes or sells the Accused Instrumentalities in the State of Texas or the Eastern District of Texas. Samsung denies any remaining allegations in Paragraph 10.

11. To the extent that the allegations of Paragraph 11 set forth legal conclusions, no response is required. Samsung denies that venue is proper in this Court and reserve the right to challenge venue in this case. Samsung admits that SEA maintains an office at 6625 Excellence Way, Plano, Texas 75023. Samsung denies that it has committed acts of infringement in this District or elsewhere, or that it has committed any act, directly or indirectly, that would give rise to any cause of action under the Third Amended Complaint. Samsung denies any remaining allegations in Paragraph 11.

12. Samsung admits that it did not contest venue in *Emergent Mobile, Arbor*, or *Acorn* solely for the purposes of those actions. *See Emergent Mobile LLC v. Samsung Elecs. Co., Ltd.*, No. 2:22-cv-107, Dkt. No. 12 at ¶ 12 (E.D. Tex. Aug. 3, 2022) (“Samsung will not contest, ***for purposes of this case only***, that venue over SEA properly lies in this District.” (emphasis added)); *see also Arbor Global Strategies LLC v. Samsung Elecs. Co., Ltd.*, No. 2:19-cv-333, Dkt. No. 43 at ¶ 10 (E.D. Tex. Apr. 27, 2020) (“The Samsung Defendants, however, do not contest, ***solely for purposes of the present action***, whether venue over them properly lies in this District, but the Samsung Defendants denies that venue in this District is convenient.”

(emphasis added)); *see also Acorn Semi, LLC v. Samsung Elecs. Co., Ltd.*, No. 2:19-cv-347, Dkt. No. 14 at ¶ 29 (E.D. Tex. Feb. 12, 2020) (“Samsung does not contest at this time, and ***solely for the purpose of the present litigation***, whether venue over it properly lies in this District, but SEC, SSI and SAS denies that venue in this District is convenient and SEC, SSI and SAS reserve the right to seek transfer to a more appropriate or convenient forum.” (emphasis added)). Except as expressly admitted, Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations of Paragraph 12, and therefore denies them.

FACTUAL ALLEGATIONS

Background

13. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 13 of the Third Amended Complaint, and therefore denies them. Samsung further denies that the allegations in Paragraph 13 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

14. Samsung admits the Patents-In-Suit purport to relate to memory modules. Samsung denies that the remaining allegations in Paragraph 14 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

15. Samsung admits that the JEDEC Solid State Technology Association (“JEDEC”) is a standardization body that develops open standards and publications for a broad range of semiconductor technologies, including memory modules. Samsung admits that memory modules can include DDR4 and DDR3. Samsung admits that RDIMM and LRDIMM are types of memory modules. Samsung denies that the remaining allegations in Paragraph 15 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

16. Samsung admits dual in-line memory modules are a type of memory module. Samsung denies that the remaining allegations in Paragraph 16 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

17. Samsung admits load reduced dual in-line memory modules and registered DIMMs are types of memory modules. Samsung denies that the remaining allegations in Paragraph 17 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

18. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 18 of the Third Amended Complaint, and therefore denies them.

19. Samsung denies that the allegations in Paragraph 19 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

The Asserted '912 Patent

20. To the extent that the allegations of Paragraph 20 set forth legal conclusions, no response is required. Samsung admits that the '912 patent states, on its face, that it is entitled "Memory Module Decoder" and that it lists Jayesh R. Bhakta and Jeffrey C. Solomon as inventors. Samsung further admits that the '912 patent states, on its face, that it was filed as Application No. 11/862,931 on September 27, 2007, issued as a patent on November 17, 2009, and purports to claim priority to, among others, Provisional Application Nos. 60/588,244, 60/550,668, and 60/575,595. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 20 of the Third Amended Complaint, and therefore denies them.

21. Samsung admits that, on July 6, 2021, Google made an indemnification request to SSI in connection with Netlist's assertion in an action against Google asserting the '912 patent against Google's use of Samsung's DDR4 LRDIMM and RDIMM memory modules. Samsung

lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 21 of the Third Amended Complaint, and therefore denies them.

22. Samsung admits the Patents-In-Suit purport to relate to memory modules. Samsung denies that the allegations in Paragraph 22 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

23. Samsung admits the '912 patent recites "even though the memory module 10 actually has the first number of ranks of memory devices 30, the memory module 10 simulates a virtual memory module by operating as having the second number of ranks of memory devices 30" at 7:9-13. Samsung denies that the remaining allegations in Paragraph 23 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

24. Samsung denies that the allegations in Paragraph 24 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

25. Samsung admits the '912 patent purports that Fig. 1A illustrates an exemplary memory module. Samsung admits the '912 patent purports that element 60 is a register and element 40 in Figure 1A is a logic element. Samsung denies that the remaining allegations in Paragraph 25 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

26. Samsung admits the '912 patent purports that CS_0 and CS_1 are chip-select signals. Samsung admits the '912 patent purports that BA_0 - BA_m are bank address signals. Samsung admits the '912 patent purports that A_{n+1} is a row/column address signal. Samsung admits the '912 patent purports that element 40 is a logic element. Samsung denies that the remaining allegations in Paragraph 26 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

27. Samsung denies that the allegations in Paragraph 27 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

The Asserted '417 Patent

28. To the extent that the allegations of Paragraph 28 set forth legal conclusions, no response is required. Samsung admits that the '417 patent states, on its face, that it is entitled "Memory Module With Data Buffering" and that it lists Jayesh R. Bhakta and Jeffrey C. Solomon as inventors. Samsung further admits that the '417 patent states, on its face, that it was filed as Application No. 16/695,020 on November 25, 2019, issued as a patent on August 17, 2021, and purports to claim priority to, among others, provisional application Nos. 60/645,087, 60/590,038, 60/588,244, 60/550,668, and 60/575,595. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 28 of the Third Amended Complaint, and therefore denies them.

29. Samsung admits it gained knowledge of the '417 patent via the First Amended Complaint. Samsung denies Netlist's allegation of "this Second Amended Complaint." Netlist's allegation of Samsung's alleged "access to Netlist's patent portfolio docket" is vague and incomplete and Samsung denies this allegation on that basis.

30. Samsung admits the '417 patent purports to relate to memory modules. Samsung admits the abstract of the '417 patent states "[t]he circuitry is configurable to enable registered transfers of N-bit wide data signals associated with the memory read or write command between the N-bit wide memory bus and the memory devices in response to the data buffer control signals and in accordance with an overall CAS latency of the memory module, which is greater than an actual operational CAS latency of the memory devices." Samsung denies that the remaining allegations in Paragraph 30 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

The Asserted '215 Patent

31. To the extent that the allegations of Paragraph 31 set forth legal conclusions, no response is required. Samsung admits that the '215 patent states, on its face, that it is entitled “Memory Module With Data Buffering” and that it lists Jayesh R. Bhakta and Jeffrey C. Solomon as inventors. Samsung further admits that the '215 patent states, on its face, that it was filed as Application No. 14/715,486 on May 18, 2015, issued as a patent on January 2, 2018, and purports to claim priority to, among others, provisional application Nos. 60/645,087, 60/590,038, 60/588,244, 60/550,668, and 60/575,595. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 31 of the Third Amended Complaint, and therefore denies them.

32. Samsung admits it gained knowledge of the '215 patent via this Third Amended Complaint. Netlist's allegation of Samsung's alleged “access to Netlist's patent portfolio docket” is vague and incomplete and Samsung denies this allegation on that basis.

33. Samsung admits the '215 patent purports to relate to memory modules. Samsung admits the abstract of the '215 patent states: “The memory module further comprises logic providing first control signals to the buffer to enable communication of a first data burst between the memory controller and the at least one first memory integrated circuit through the buffer in response to a first memory command, and providing second control signals to the buffer to enable communication of a second data burst between the at least one second memory integrated circuit and the memory bus through the buffer in response to a second memory command.” Samsung denies that the remaining allegations in Paragraph 33 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

The Asserted '608 Patent

34. To the extent that the allegations of Paragraph 34 set forth legal conclusions, no response is required. Samsung admits that the '608 patent states, on its face, that it is entitled "Memory Module with Timing-Controlled Data Paths in Distributed Data Buffers" and that it lists Hyun Lee and Jayesh R. Bhakta as inventors. Samsung further admits that the '608 patent states, on its face, that it was filed as Application No. 15/820,076 on November 21, 2017, issued as a patent on April 23, 2019, and purports to claim priority to, among others, utility application no. 13/952,599 and provisional application no. 61/676,883. Samsung lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 34 of the Third Amended Complaint, and therefore denies them.

35. Samsung admits that Netlist filed a lawsuit on December 20, 2021 regarding U.S. Patent No 10,860,506 (the "'506 patent") but Netlist dropped those allegations. Netlist's allegations of Samsung's alleged "access to Netlist's patent portfolio docket" and "knowledge of the '608 Patent via the Netlist's litigation against Samsung regarding a patent in the same family" are vague and incomplete and Samsung denies these allegations on that basis.

Samsung's Activities²

36. Samsung denies all allegations of patent infringement, and further denies that Netlist is entitled to any relief for its allegations of patent infringement whether by award of damages, injunction, or otherwise. Samsung denies that the allegations in Paragraph 36 of the Third Amended Complaint are complete or accurate, and on that basis denies them.

² Samsung denies the allegation contained in the header preceding Paragraph 36.

FIRST CLAIM FOR RELIEF – '912 PATENT

37. Samsung denies Netlist's allegation of "this Second Amended Complaint." Samsung incorporates by reference its responses to paragraphs 1-36 of the Third Amended Complaint.

38. To the extent that the allegations of Paragraph 38 set forth legal conclusions, no response is required. Samsung denies the allegations in Paragraph 38 of the Third Amended Complaint.

39. To the extent that the allegations of Paragraph 39 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM and DDR4 RDIMM products. Samsung admits that it creates specifications and datasheets for certain Samsung memory products. Samsung denies the remaining allegations in Paragraph 39 of the Third Amended Complaint.

40. To the extent that the allegations of Paragraph 40 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM and DDR4 RDIMM products. Samsung denies the remaining allegations in Paragraph 40 of the Third Amended Complaint.

41. To the extent that the allegations of Paragraph 41 set forth legal conclusions, no response is required. Samsung admits that it had knowledge of the '912 patent as of July 6, 2021. Samsung further admits that Netlist listed the '912 patent (prior to the reexamination certificate) in a presentation purporting to be from 2015. Samsung denies the remaining allegations in Paragraph 41 of the Third Amended Complaint.

SECOND CLAIM FOR RELIEF – '417 PATENT

42. Samsung denies Netlist's allegation of "this Second Amended Complaint." Samsung incorporates by reference its responses to paragraphs 1-41 of the Third Amended Complaint.

43. To the extent that the allegations of Paragraph 43 set forth legal conclusions, no response is required. Samsung denies the allegations in Paragraph 43 of the Third Amended Complaint.

44. To the extent that the allegations of Paragraph 44 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 44 of the Third Amended Complaint.

45. To the extent that the allegations of Paragraph 45 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 45 of the Third Amended Complaint.

46. To the extent that the allegations of Paragraph 46 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung Module Handling Guide. Samsung admits Netlist's Third Amended Complaint

purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 46 of the Third Amended Complaint.

47. To the extent that the allegations of Paragraph 47 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-31A RCD Standard. Samsung denies the remaining allegations in Paragraph 47 of the Third Amended Complaint.

48. To the extent that the allegations of Paragraph 48 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 48 of the Third Amended Complaint.

49. To the extent that the allegations of Paragraph 49 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC DDR4 SDRAM Standard 79-4C. Samsung denies the remaining allegations in Paragraph 49 of the Third Amended Complaint.

50. To the extent that the allegations of Paragraph 50 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from

the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 50 of the Third Amended Complaint.

51. To the extent that the allegations of Paragraph 51 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-31A RCD Standard. Samsung denies the remaining allegations in Paragraph 51 of the Third Amended Complaint.

52. To the extent that the allegations of Paragraph 52 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 52 of the Third Amended Complaint.

53. To the extent that the allegations of Paragraph 53 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung admits that it creates specifications and datasheets for certain Samsung memory products. Samsung denies the remaining allegations in Paragraph 53 of the Third Amended Complaint.

54. To the extent that the allegations of Paragraph 54 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung denies the remaining allegations in Paragraph 54 of the Third Amended Complaint.

55. To the extent that the allegations of Paragraph 55 set forth legal conclusions, no response is required. Samsung admits that Netlist listed U.S. Patent Nos. 7,532,537 and 7,636,274 in a presentation purporting to be from 2012. Samsung admits that Netlist listed U.S. Patent Nos. 7,532,537, 7,636,274, and 8,516,188 in a presentation purporting to be from 2015. Samsung admits that Netlist listed U.S. Patent No. 7,532,537 in an undated document that Netlist

purports to have emailed to Samsung in 2016. Samsung admits that Netlist listed U.S. Application No. 16/695,020 in an undated document that Netlist purports to have produced on August 2, 2021. Samsung admits that the '417 patent was listed in an exhibit to a letter purporting to be dated June 8, 2022. Samsung denies the remaining allegations in Paragraph 55 of the Third Amended Complaint.

THIRD CLAIM FOR RELIEF – '215 PATENT

56. Samsung denies Netlist's allegation of "this Second Amended Complaint." Samsung incorporates by reference its responses to paragraphs 1-55 of the Third Amended Complaint.

57. To the extent that the allegations of Paragraph 57 set forth legal conclusions, no response is required. Samsung denies the allegations in Paragraph 57 of the Third Amended Complaint.

58. To the extent that the allegations of Paragraph 58 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 58 of the Third Amended Complaint.

59. To the extent that the allegations of Paragraph 59 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from the JEDEC DDR4 SDRAM Standard 79-4C. Samsung denies the remaining allegations in Paragraph 59 of the Third Amended Complaint.

60. To the extent that the allegations of Paragraph 60 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung Module Handling Guide. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 60 of the Third Amended Complaint.

61. To the extent that the allegations of Paragraph 61 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC DDR4 SDRAM Standard 79-4C. Samsung denies the remaining allegations in Paragraph 61 of the Third Amended Complaint.

62. To the extent that the allegations of Paragraph 62 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 62 of the Third Amended Complaint.

63. To the extent that the allegations of Paragraph 63 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from the JEDEC DDR4 SDRAM Standard 79-4C. Samsung denies the remaining allegations in Paragraph 63 of the Third Amended Complaint.

64. To the extent that the allegations of Paragraph 64 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an annotated, and therefore modified, excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 64 of the Third Amended Complaint.

65. To the extent that the allegations of Paragraph 65 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 65 of the Third Amended Complaint.

66. To the extent that the allegations of Paragraph 66 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung admits that it creates specifications and datasheets for certain Samsung memory products. Samsung denies the remaining allegations in Paragraph 66 of the Third Amended Complaint.

67. To the extent that the allegations of Paragraph 67 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung denies the remaining allegations in Paragraph 67 of the Third Amended Complaint.

68. To the extent that the allegations of Paragraph 68 set forth legal conclusions, no response is required. Samsung admits that Netlist listed U.S. Patent Nos. 7,532,537 and 7,636,274 in a presentation purporting to be from 2012. Samsung admits that Netlist listed U.S. Patent Nos. 7,532,537, 7,636,274, and 8,516,188 in a presentation purporting to be from 2015. Samsung admits that Netlist listed U.S. Patent No. 7,532,537 in an undated document that Netlist purports to have emailed to Samsung in 2016. Samsung admits that Netlist listed the '215 patent

in an undated document that Netlist purports to have produced on August 2, 2021. Samsung denies the remaining allegations in Paragraph 68 of the Third Amended Complaint.

FOURTH CLAIM FOR RELIEF – '608 PATENT

69. Samsung denies Netlist's allegation of "this Second Amended Complaint." Samsung incorporates by reference its responses to paragraphs 1-68 of the Third Amended Complaint.

70. To the extent that the allegations of Paragraph 70 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung denies the remaining allegations in Paragraph 70 of the Third Amended Complaint.

71. To the extent that the allegations of Paragraph 71 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 71 of the Third Amended Complaint.

72. To the extent that the allegations of Paragraph 72 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung denies the remaining allegations in Paragraph 72 of the Third Amended Complaint.

73. To the extent that the allegations of Paragraph 73 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung

admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 73 of the Third Amended Complaint.

74. To the extent that the allegations of Paragraph 74 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 74 of the Third Amended Complaint.

75. To the extent that the allegations of Paragraph 75 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include a depiction of a Samsung DDR4 LRDIMM from Samsung's website at <https://semiconductor.samsung.com/dram/module/lrdimm/m386a8k40bm1-crc/>. Samsung denies the remaining allegations in Paragraph 75 of the Third Amended Complaint.

76. To the extent that the allegations of Paragraph 76 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 76 of the Third Amended Complaint.

77. To the extent that the allegations of Paragraph 77 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from a Samsung datasheet for M386A8K40BM1-CRC. Samsung denies the remaining allegations in Paragraph 77 of the Third Amended Complaint.

78. To the extent that the allegations of Paragraph 78 set forth legal conclusions, no response is required. Samsung admits Netlist's Third Amended Complaint purports to include an excerpt from the JEDEC 82-32A Data Buffer Standard. Samsung denies the remaining allegations in Paragraph 78 of the Third Amended Complaint.

79. To the extent that the allegations of Paragraph 79 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung admits that it creates specifications and datasheets for certain Samsung memory products. Samsung denies the remaining allegations in Paragraph 79 of the Third Amended Complaint.

80. To the extent that the allegations of Paragraph 80 set forth legal conclusions, no response is required. Samsung admits that it sells DDR4 LRDIMM products. Samsung denies the allegations in Paragraph 80 of the Third Amended Complaint.

81. To the extent that the allegations of Paragraph 81 set forth legal conclusions, no response is required. Samsung admits that Netlist listed U.S. Patent No. 9,128,632 in an undated document that Netlist purports to have emailed to Samsung in 2016. Samsung admits that Netlist listed the '608 patent in an undated document that Netlist purports to have produced on August 2, 2021. Samsung denies the remaining allegations in Paragraph 81 of the Third Amended Complaint.

DEMAND FOR JURY TRIAL

82. Samsung admits that Netlist demands a trial by jury, and Samsung likewise demands a trial by jury on all issues so triable. Samsung denies the remaining allegations in Paragraph 82 of the Third Amended Complaint.

[NETLIST'S] PRAYER FOR RELIEF

Samsung denies the underlying allegations of Netlist's Prayer for Relief against Samsung, denies that Netlist is entitled to any relief whatsoever, and requests that the Court denies all relief to Netlist, enter judgment in favor of Samsung, and award Samsung its attorney's fees as the prevailing party in the action.

GENERAL DENIAL

To the extent that any allegations of the Third Amended Complaint have not been previously specifically admitted or denied, Samsung denies them.

SAMSUNG'S ADDITIONAL DEFENSES

Pursuant to Federal Rule of Civil Procedure 8(c), Samsung, without waiver, limitation or prejudice, hereby asserts the additional defenses listed below. Samsung reserves the right to amend this Answer to add additional defenses, and/or any other defenses currently unknown to Samsung, as they become known throughout the course of discovery in this action. The assertion of a defense is not a concession that Samsung has the burden of proving the matter asserted.

FIRST ADDITIONAL DEFENSE
(Non-Infringement)

Samsung does not infringe and has not infringed, under any theory of infringement, including directly (whether individually or jointly) or indirectly (whether contributorily or by

inducement), any valid, enforceable claim of the Patents-In-Suit, either literally or under the Doctrine of Equivalents, and has not committed any acts in violation of 35 U.S.C. § 271.

SECOND ADDITIONAL DEFENSE
(Invalidity)

Each asserted claim of the Patents-In-Suit is invalid for failure to comply with one or more of the requirements of United States Code, Title 35, including, without limitation, 35 U.S.C. §§ 101, 102, 103, and/or 112, and the rules, regulations, and laws pertaining thereto.

THIRD ADDITIONAL DEFENSE
(Laches, Estoppel, and/or Waiver)

Netlist's attempted enforcement of the Patents-In-Suit against Samsung is barred by laches, estoppel, and/or waiver. For example, to the extent the Patents-In-Suit are essential to one or more JEDEC standards, Netlist is estopped from enforcing the Patents-In-Suit against Samsung because of its failure to comply with its RAND obligations. As another example, to the extent the Patents-In-Suit are essential to one or more JEDEC standards, Netlist is estopped from seeking an injunction or lost profits by its RAND obligations. In addition, Netlist is equitably estopped from enforcing the '215, '417, and '608 patents because Netlist, including its JEDEC representatives, believed (at least as of the filing of the Third Amended Complaint and/or service of its infringement contentions in this case regarding DDR4 LRDIMM products) that these patents are or may be essential patents to DDR4 LRDIMM based on its infringement allegations (with which Samsung disagrees), and yet elected not to disclose them to JEDEC, thus failing to comply with its JEDEC obligations, and thereby materially prejudicing Samsung and other JEDEC members because JEDEC worked on DDR4 standards without considering the numerous alternatives that would have avoided Netlist's patents (based on Netlist's interpretation of the claims, with which Samsung disagrees). In addition, Netlist is equitably estopped from enforcing the '912 patent because Netlist, including its JEDEC representatives, believed (at least

as of the filing of the Complaint and/or service of its infringement contentions in this case) that this patent is or may be essential to multiple DDR standards (e.g., DDR2, DDR3, and DDR4 standards) based on its infringement allegations (with which Samsung disagrees), and yet elected not to disclose them to JEDEC as to any DDR standards apart from DDR3 LRDIMM, thus failing to comply with its JEDEC obligations, and thereby materially prejudicing Samsung and other JEDEC members because JEDEC worked on DDR standards (e.g., DDR4) without considering the numerous alternatives that would have avoided Netlist's patents (based on Netlist's interpretation of the claims, with which Samsung disagrees).

FOURTH ADDITIONAL DEFENSE
(Prosecution History Estoppel)

Netlist's claims are barred by the doctrine of prosecution history estoppel and/or disclaimer based on amendments, statements, representations, and admissions made during prosecution and/or reexamination of the patent applications resulting in the Patents-In-Suit. Although Netlist's infringement contentions purport to assert infringement under the doctrine of equivalents for elements that are not literally infringed, prosecution history estoppel bars Netlist from asserting the doctrine of equivalents. For example, Netlist amended claim 16 of the '912 patent during reexamination by rewriting it in independent form while cancelling original claim 15; thus, prosecution history estoppel precludes Netlist from asserting that the additional limitations of claim 16 (not present in original claim 15) are infringed under the doctrine of equivalents. As another example, Netlist amended claim 1 of U.S. Patent No. 9,128,632 (the "'632 patent"), a parent patent of the '608 patent, to overcome the prior art. The Examiner rejected claim 1 of the '608 patent due to double patenting over claim 1 of the '632 patent. Netlist acceded to the Examiner's rejection and filed a terminal disclaimer; thus, prosecution history estoppel precludes Netlist from asserting that the amended limitations of claim 1 of the

'632 patent which are similarly found in the '608 patent are infringed under the doctrine of equivalents. To the extent Netlist is permitted to provide more detailed infringement theories asserting the doctrine of equivalents for this or other limitations of the asserted claims, Samsung reserves the right to respond with more detailed prosecution history estoppel allegations.

FIFTH ADDITIONAL DEFENSE
(Marking)

Any claim for damages by Netlist is limited by its failure to mark, including without limitation, to the extent Netlist contends there are products covered by the Patents-In-Suit, Netlist's failure to mark its own products with the numbers of the Patents-In-Suit and/or its failure to require and/or police the marking of Netlist's customers and licensees.

SIXTH ADDITIONAL DEFENSE
(Notice, Damages, and Costs)

Netlist's claims for damages are statutorily limited under 35 U.S.C. §§ 286 and 287. Netlist is barred from recovering costs in connection with this action under 35 U.S.C. § 288. Netlist's claims for relief are limited by the doctrines of full compensation, exhaustion, and/or first sale, and Netlist is not entitled to a double recovery. For example, to the extent the Patents-In-Suit are essential to one or more JEDEC standards, Netlist is barred from seeking lost profits by its RAND obligations.

SEVENTH ADDITIONAL DEFENSE
(Express License)

Netlist's claims are barred in whole or in part by express license.

On November 12, 2015, Netlist and SEC entered into a Joint Development and License Agreement (the "Agreement"). The Agreement contains cross-license, joint development, and product supply provisions.

In the Agreement, Netlist granted SEC and its subsidiaries, including SSI, a perpetual, paid-up, worldwide, non-exclusive license to all patents owned or controlled by Netlist or any of its subsidiaries having an effective first filing date on or prior to November 12, 2020. All of the Patents-In-Suit have effective first filing dates prior to November 12, 2020. The license extends through the expiration of the last to expire of the licensed patents.

SEC similarly granted Netlist and its subsidiaries a perpetual, paid-up, worldwide, non-exclusive license to all patents owned or controlled by SEC or any of its subsidiaries having an effective first filing date on or prior to November 12, 2020. The Agreement required SEC to make a payment to Netlist of \$8 million subject to the terms and conditions therein. SEC made this payment to Netlist except for a portion that was remitted as tax withholding to the Korean tax authorities, which later refunded the withheld portion to Netlist.

Netlist is now taking extraordinary actions to back out of its grant of a patent license to Samsung.

On May 27, 2020, Netlist's Chief Licensing Officer, Marc J. Frechette, wrote to Mr. Seung Min Sung of SEC, and alleged that Samsung materially breached the Agreement by allegedly "repeatedly fail[ing] to fulfill Netlist's request for NAND and DRAM products throughout the term of the Agreement" and, allegedly, by improperly deducting withholding taxes. Netlist did not allege, and has never alleged, that Samsung breached the Agreement in any way related to the patent cross-license. In the same letter—which was the first time Netlist raised its breach allegations—Mr. Frechette informed Mr. Sung that Netlist had filed a complaint in U.S. District Court for the Central District of California with respect to the alleged breach by Samsung and provided a copy of the complaint for reference.

On May 28, 2020, the U.S. District Court for the Central District of California docketed Netlist's breach of contract complaint against SEC. *Netlist, Inc. v. Samsung Elecs. Co., Ltd.*, No. 8:20-cv-00993-MCS (C.D. Cal.) ("Breach Action").

Netlist subsequently wrote to SEC to terminate the Agreement, including the patent license to SEC and its subsidiaries. On July 15, 2020, Netlist's Chief Licensing Officer, Marc J. Frechette, wrote to Mr. Seung Min Sung of SEC and stated that "Netlist is hereby terminating, effective immediately, the Agreement including the patent license granted to Samsung. . . ."

One week later, on July 22, 2020, Netlist amended its complaint in the Breach Action, seeking a declaration that the license it granted Samsung, *but not the license Samsung granted Netlist*, is terminated. In the Breach Action, Netlist seeks monetary damages and a declaration "that Netlist has terminated the Agreement pursuant to Section 13.2 and that Samsung's licenses and rights under the agreement have ceased."

On October 14, 2021, the court in the Breach Action issued a confidential order granting summary judgment in favor of Netlist on Netlist's Third Claim for Relief, which seeks a declaration that Netlist terminated Samsung's license effective July 20, 2020. *See Netlist, Inc. v. Samsung Elecs. Co., Ltd.*, No. 8:20-cv-00993-MCS, Dkt. No. 186 (C.D. Cal.). The court also granted summary judgment in Netlist's favor that Samsung was liable for certain alleged breaches of other provisions in the Agreement. *See id.*

Beginning on December 1, 2021, the court in the Breach Action held a jury trial on damages for one of the alleged breaches (the alleged failure to fulfill Netlist's orders for NAND and DRAM products). On December 3, 2021, the jury returned a verdict in Samsung's favor, finding that Netlist failed to prove that it suffered any damages for this alleged breach. *See Breach Action*, Dkt. No. 276.

On January 10, 2022, Samsung filed a motion for entry of judgment in Samsung's favor as to Netlist's First and Second Claims for Relief and, as to Netlist's Third Claim for Relief, for entry of judgment in Samsung's favor or, in the alternative, a trial on the claim. On February 15, 2022, the Court in the Breach Action entered judgment in favor of Netlist on the First and Second Claims for Relief and awarded nominal damages in the amount of \$1.00 for each claim. *See Breach Action*, Dkt. No. 306. Further, the Court entered judgment in favor of Netlist on the Third Claim for declaratory relief finding that Netlist terminated the Agreement and that Samsung's licenses and rights under the Agreement have ceased. *See id.*

On February 25, 2022, SEC appealed the judgment entered in the Breach Action to the Ninth Circuit. *See Breach Action*, Dkt. No. 309. SEC maintains that it did not breach the Agreement, that the Agreement has not been terminated, and that it continues to hold a paid-up, worldwide, non-exclusive license to Netlist's patents, including the Patents-In-Suit. All of Samsung's sales of the Accused Instrumentalities are therefore licensed to the asserted patents in this case, and Netlist is further barred from claiming infringement for those Accused Instrumentalities under patent exhaustion.

Further, regardless of whether the Agreement was properly terminated (which Samsung disputes), Samsung is not liable for infringement of the Patents-In-Suit for any memory modules made, used, sold, offered for sale, or imported before July 20, 2020, the date on which the purported termination became effective. Accordingly, at least Samsung's sales of the Accused Instrumentalities made, used, sold, offered for sale, or imported before July 20, 2020 are licensed to the asserted patents in this case.

EIGHTH ADDITIONAL DEFENSE
(Not an Exceptional Case)

Netlist is not entitled to a finding that this case is exceptional warranting attorneys' fees under 35 U.S.C. § 285, or pursuant to the Court's inherent power.

NINTH ADDITIONAL DEFENSE
(No Willful Infringement)

Netlist's claims for enhanced damages, if any, and an award of fees and costs against Samsung have no basis in fact or law and should be denied.

TENTH ADDITIONAL DEFENSE
(Prosecution laches)

Netlist's attempted enforcement of the Patents-In-Suit against Samsung is barred by prosecution laches.

ELEVENTH ADDITIONAL DEFENSE
(Improper Venue)

Samsung denies that venue is proper in this Court, including pursuant to 28 U.S.C. §§ 1391(b) and (c) and/or 1400(b).

TWELFTH ADDITIONAL DEFENSE
(Inequitable Conduct and Unclean Hands)

At least the '912 and '608 patents are unenforceable due to inequitable conduct, and the Patents-In-Suit are unenforceable due to unclean hands.

1. '912 Patent

a. Netlist Individuals Substantively Involved in the '912 Reexamination

On information and belief, at least the following people associated with Netlist were substantively involved in the *inter partes* reexamination proceedings with respect to the '912 patent: Gail Sasaki, Mehran Arjomand, and David S. Kim (collectively "Netlist Individuals Substantively Involved in the '912 Patent Reexamination").

On information and belief, Gail Sasaki was, during the reexamination proceedings for the '912 patent, an employee and/or contractor for Netlist who signed a power of attorney appointing counsel of record to prosecute the reexamination proceeding on behalf of Netlist.

Mehran Arjomand and David S. Kim served as counsel of record for Netlist in connection with the *inter partes* reexamination proceedings for the '912 patent.

On information and belief, the Netlist Individuals Substantively Involved in the '912 Patent Reexamination withheld information that they knew to be material to the patentability of the '912 patent with the specific intent to deceive the United States Patent and Trademark Office ("Patent Office") in order to secure allowance of certain amended claims.

On information and belief, each of the Netlist Individuals Substantively Involved in the '912 Patent Reexamination is an individual associated with the prosecution of the '912 patent during the *inter partes* reexamination proceedings, which ultimately led to the issuance of an *Inter Partes* Reexamination Certificate on February 8, 2021.

b. Netlist Made Narrowing Amendments to Claims During Reexamination

The '912 patent was originally filed in the United States on September 27, 2007 by inventors Jayesh R. Bhakta and Jeffrey C. Solomon. The '912 patent, titled "Memory Module Decoder," issued on November 17, 2009. Netlist claims to own all rights, title, and interest in the '912 patent.

On April 20, 2010, a first request for *inter partes* reexamination of claims 1–51 of the '912 patent was filed by third party requester Inphi. The Patent Office assigned this request control No. 95/001,339 ("339 proceeding") and ultimately ordered the reexamination of claims 1–51 of the '912 patent on September 1, 2010.

On October 20, 2010, a second request for *inter partes* reexamination of claims 1, 6, 3–4, 6–11, 15, 18–22, 24–25, 27–29, 31–34, 36–39, 41–45, and 50 of the '912 patent was filed by third party requester SMART Modular Technologies (WWH), Inc. (“SMART”). The Patent Office assigned this request control No. 95/000,578 (“’578 proceeding”) and ordered reexamination of claims 1, 3–4, 6–11, 15, 18–22, 24–25, 27–29, 31–34, 36–39, 41–45, and 50 of the '912 patent on January 14, 2011.

On October 21, 2010, a third request for *inter partes* reexamination of claims 1, 3–4, 6–11, 15, 18–22, 24–25, 27–29, 31–34, 36–39, 41–45, and 50 of the '912 patent was filed by Google. The Patent Office assigned this request control No. 95/000,579 (“’579 proceeding”) and ordered reexamination of claims 1, 3–4, 6–11, 15, 18–22, 24–25, 27–29, 31–34, 36–39, 41–45, and 50 of the '912 patent on January 18, 2011.

The Examiner in the '578, '579, and '339 proceedings agreed with Inphi, SMART, and Google that the proposed combination of Micron, DDR SDRAM RDIMM, MT36VDDF12872 & MT36VDDF28672 Data Sheet, 2002 (“Micron”) in view of U.S. Patent Application Publication No. 2006/0117152 (“Amidi”) presented a substantial new question of patentability and that “a reasonable examiner would consider the teaching of Micron and Amidi important in deciding the patentability of” the challenged claims. *See* January 14, 2011 Reexamination Ordered.

On February 25, 2011, the Patent Office *sua sponte* merged the '578, '579, and '339 proceedings into a single matter, as all three proceedings were pending, had yet to be terminated, and involved overlapping claims of the same patent.

After the merger, Netlist argued against the pending rejections of the existing claims and added new claims 52–118. *See* Netlist’s July 5, 2011 Response to Office Action in *Inter Partes*

Reexamination Communication Mailed April 4, 2011. For example, Netlist argued that the phase-lock loop device disclosed in Amidi was not “operatively coupled” to its CPLD. Netlist narrowed the scope of the “operatively coupled” feature by arguing that in the context of the ’912 patent the phrase means that “the operations of the logic element 40 are clocked either directly or indirectly (e.g., through a clock buffer) by the output of the PLL 50” and that “the output of the PLL 50 controls the operation of the logic element 40.”

Google responded by explaining how Micron and Amidi rendered obvious both the original and new claims. *See* August 29, 2011 Response. Google also explained how Micron, Amidi, U.S. Patent No. 5,926,827 (“Dell 2”), and Double Data Rate (DDR) SDRAM Specification, JEDEC Standard No. 79C, March 2003 (“JEDEC 79C”) rendered obvious the new claims. *Id.* The Examiner adopted some aspects of the grounds raised by Google, rejected other aspects, and declined to adopt other grounds. *See* October 14, 2011 Non-Final Office Action. Netlist responded by canceling some of its claims, amending most of the remaining claims, and adding new claims 119–136. *See* January 14, 2012 Netlist’s Response after Non-Final Action. Google again responded by continuing to argue, among other things, that Micron, Amidi, Dell 2, and/or JEDEC 79C rendered obvious a set of the pending claims. *See* February 23, 2012 Google’s Response.

The Examiner then adopted additional grounds for rejection and maintained certain earlier rejections. *See* November 13, 2012 Non-Final Action. Netlist responded by canceling certain claims, further amending other claims, and arguing against the rejections. *See* January 14, 2013 Netlist’s Response after Non-Final Action. Google again explained why various combinations of Micron, Amidi, Dell 2, and JEDEC 79C (among other references) rendered the claims obvious. *See* February 13, 2013 Google’s Response.

The Examiner issued an Action Closing Prosecution, which maintained several rejections, withdrew others, and found certain rejections moot due to Netlist canceling certain claims. *See* March 21, 2014 Action Closing Prosecution. The Examiner issued a Right of Appeal Notice, and Google appealed the Examiner's unadopted portions of certain grounds. *See* July 18, 2014 Google's Notice of Appeal. Netlist cross-appealed on the grounds of rejection the Examiner maintained. *See* July 30, 2014 Netlist's Notice of Cross Appeal.

The PTAB, in its first appeal decision, affirmed the Examiner's adoption of certain aspects of the ground involving Micron in view of Amidi, reversed the Examiner's refusal to adopt other aspects of this ground, reversed the Examiner's refusal to adopt the ground involving Micron in view of Amidi and Olarig, and declined to reach the merits on the ground involving Micron in view of Amidi, Dell 2, and JEDEC 79C. *See* June 6, 2016 Decision on Appeal.

Netlist then requested that the PTO reopen prosecution, canceling certain claims and amending most of the remaining claims. *See* July 31, 2016 Netlist's Response Requesting to Reopen Prosecution. It was in this set of amendments in which Netlist amended claim 1 and several other claims to include the following language:

- “wherein, in response to signals received from the computer system, the phase-lock loop (PLL) device transmits a PLL clock signal to the plurality of DDR memory devices, the logic element, and the register” (“PLL device limitation”);
- “wherein, the register (i) receives, from the computer system, and (ii) buffers, in response to the PLL clock signal, a plurality of row/column address signals and the bank address signals, and (iii) transmits the buffered plurality of row/column address signals and the buffered bank address signals to the plurality of DDR memory devices” (“register limitation”); and
- “wherein the logic element generates gated column access strobe (CAS) signals or chip-select signals of the output control signals in response to at least in part to (i) the at least one row address signal, (ii) the bank address signals, and (iii) the at least one chip-select signal of the set of input control signals and (iv) the PLL clock signal.” (“logic element limitation”).

Netlist asserted that these three amendments were “principal amendments to overcome the new grounds of rejection” and further stated that, “[t]o address the Board’s rejection, Patent Owner narrowed the claim to include” the above-identified three amendments. *Id.* Netlist then referenced the above identified “claim amendments [to] highlight at least two differences between the ’912 invention and the prior art.” *Id.* Below are the two differences that Netlist highlighted to the PTAB:

First, the claim amendments now require: In response to signals received from the computer system, the phaselock loop (PLL) device transmit a PLL clock to the plurality of DDR memory devices, the logic element, and the register. Amidi transmits a PLL clock signal to the register and memory, but not the CPLD. Thus, Amidi does not disclose the PLL device transmitting the PLL clock to the logic element; the output of PLL 606 is neither directly nor indirectly transmitted to the CPLD 604. Additionally, a POSITA would not be motivated or inclined to transmit the PLL clock to CPLD 604.

Second, the amended claims now also require that the logic element generates certain output signals (e.g., gated column access strobe (CAS) signals or chip-select signals recited in claim 1) in response to at least in part to (i) the at least one row address signal, (ii) the bank address signals, and (iii) the at least one chip-select signal of the set of input control signals and (iv) the PLL clock signal. Amidi’s CPLD 604 never receives bank address signals and hence Amidi’s control signals cannot be generated based on bank address signals. Instead, the control signals (rcs0a, rcs0b, rcs1a, rcs1b, rcs2a, rcs2b, rcs3a, and rcs3b) are based on the row address signals and chip-select signals. Thus, Amidi does not disclose the CPLD generating the gated CAS signals or chip-select signals in response to *the bank address signals*. Moreover, since the output of PLL 606 is neither directly nor indirectly transmitted to the CPLD 604, a POSITA would understand that the PLL clock does not control the operation of CPLD 604. Thus, Amidi is further deficient by failing to disclose the CPLD generating the gated CAS signals or chip-selected signals in response to the *PLL clock signal*.

Id. (emphasis in original) (internal citations omitted).

Netlist went on to further explain how the specific amendments above were the distinguishing factors over Amidi:

As amended, the claims require that the *logic element receives* at least one row address signal and *bank address signals*, and require that the *register (i) receives*, from the computer system, and (ii) buffers, in response to the PLL clock signal, a plurality of row/column address signals and the *bank address signals*, and (iii) transmit the buffered plurality of DDR memory devices. The claims also require the plurality of row/column address signals received by the register are separate from the at least one row address signal received by the logic element. In other words, in the confirmation recited by the claims, the bank address signals are received by the logic element, the register and the plurality of memory devices. Under such circumstances, Amidi does not use bank address signals to generate control signals (and certainly not the bank address signals and the at least one row address signal.) The claims, however, require generating CAS signals or chip-select signals based on a row address signal and bank address signals.

Based on the above, it would not be obvious to a POSITA to combine Amidi and Dell 2 to reach the claimed invention, as amended. For the sake of argument, however, even the proposed combination of Amidi and Dell 2 fails to disclose the claimed invention. Therefore, even in combination, Amidi in view of Dell 2 fails to disclose all of the claim recitations of the claims.

Id. (emphasis in original) (internal citations omitted).

Following Google's response to Netlist's amendments and response, the PTAB remanded the case to the Examiner and the Examiner maintained the PTAB's new grounds of rejection as well as one additional ground. *See* October 3, 2017 Examiner's Determination under 37 C.F.R. § 41.77(d).

The PTAB then issued its second appeal decision, affirming several prior rejections but withdrawing many of the rejections the PTAB and the Examiner had previously maintained. *See* July 27, 2018 Decision on Appeal. The withdrawn rejections corresponded to the combinations of Micron in view of Amidi and Micron in view of Amidi and Olarig. *Id.* Google sought

rehearing, which the PTAB denied. *See* January 31, 2019 Decision on Rehearing. Google then appealed the PTAB's decision to the Federal Circuit.

In its responsive appeal brief to the Federal Circuit, Netlist repeatedly stated that "it *narrowed* its claims to define its precise inventive contributions over the prior art." December 12, 2019 Netlist Response Brief (emphasis added). Therefore, in statements to the PTAB and the Federal Circuit, Netlist reiterated that the amendments identified above are narrowing, and that it was these *narrowing* amendments that were Netlist's basis for arguing that the prior art at issue does not disclose the asserted claims. It was in response to these statements that the PTAB withdrew its rejections of the asserted claims and the Federal Circuit affirmed the PTAB's decision on June 15, 2020.

The *Inter Partes* Reexamination Certification for the '912 patent issued on February 8, 2021.

c. *After the Claims Issued with the Narrowing Amendments, Netlist Argued in Litigation That the Amendments Did Not Change the Scope of the Claims*

After the *Inter Partes* Reexamination Certification for the '912 patent issued on February 8, 2021, Netlist resumed its litigation against Google.

On information and belief, Gail Sasaki is involved in overseeing Netlist's litigation against Google.

On July 30, 2021, Google filed a Motion for Summary Judgment on the Issue of Absolute Intervening Rights. *See Netlist, Inc. v. Google LLC*, No. 4:09-cv-05718-SBA, Dkt. No. 155.

On September 3, 2021, Netlist filed its Opposition to Google's Motion for Summary Judgment on the Issue of Absolute Intervening Rights. *See id.*, Dkt. No. 196. In the opposition, Netlist argued, in direct contradiction to what it argued to the PTAB and the Federal Circuit during reexamination proceedings, that the above identified claim amendments were not

narrowing. Instead, Netlist argued that “the language added during reexamination clarified what was inherent in the original claims.” Dkt. No. 196 at 17; *see also id.* at 16–24. The N.D.Cal. Court denied Google’s Motion for Summary Judgement on the Issue of Absolute Intervening Rights. Dkt. No. 258.

If the above identified amendments were in fact inherent, as Netlist contended to overcome intervening rights, then Netlist knowingly misled the PTAB and the Federal Circuit when it distinguished the amended claims from the prior art during reexamination.

Had the PTAB and the Federal Circuit known that the amendments were in fact not “narrowing” but instead just “made express the inherent functions of the [original limitation],” the PTAB and the Federal Circuit would have found these claims obvious and not allowed them to issue. For example, if the newly added functions were inherent in the original claim limitation, as Netlist now contends, these functions also would have been inherent in the prior art, thus rendering the claims obvious and invalid.

On information and belief, Netlist Individuals Substantively Involved in the ’912 Patent Reexamination knowingly misrepresented the amendments in its arguments to the PTAB and the Federal Circuit. Netlist Individuals Substantively Involved in the ’912 Patent Reexamination had a duty of candor and good faith in dealing with the PTAB and the Federal Circuit during reexamination of the ’912 patent. That duty of candor and good faith included a duty to disclose to the PTAB and the Federal Circuit its intention not to narrow the claims at all in order to distinguish the prior art. Instead, Netlist Individuals Substantively Involved in the ’912 Patent Reexamination chose to tell both the PTAB and the Federal Circuit the opposite to convince the PTAB and the Federal Circuit to allow the claims. This information is material to the patentability of the claims. By misrepresenting the intended effect of the above identified

amendments to the claims to the PTAB and the Federal Circuit, Netlist Individuals Substantively Involved in the '912 Patent Reexamination breached its duty of candor and good faith and showed specific intent to deceive the PTAB and the Federal Circuit.

2. '608 Patent

Individuals substantively involved in the prosecution of the '608 patent knew about material and non-cumulative prior art by virtue of their participation in JEDEC standards meetings. On information and belief, these individuals specifically intended to deceive the Patent Office into believing that the claims of the '608 patent were patentable by withholding the relevant art from the examiner during prosecution of the patent.

a. Background on the Alleged Invention, and the Prosecution of the '608 Patent, and Events Occurring During the Same

The '608 patent issued from a series of continuation applications: U.S. Patent Application Nos. 15/426,064 (U.S. Patent No. 9,824,035); 14/846,993 (U.S. Patent No. 9,563,587); and 13/952,599 (U.S. Patent No. 9,128,632). This chain of continuation applications ultimately claims priority to U.S. Provisional Patent Application No. 61/676,883, which was filed on July 27, 2012. All of the applications name Hyun Lee and Jayesh R. Bhakta as the inventors.

The '608 patent incorporates by reference a number of Netlist's other patent applications including U.S. Patent Application Nos. 14/715,486 (U.S. Patent No. 9,858,821); 13/970,606 (U.S. Patent No. 9,606,907); 12/504,131 (U.S. Patent No. 8,417,870); 12/761,179 (U.S. Patent No. 8,516,185); 13/287,042 (U.S. Patent No. 8,756,364); and 13/287,081 (U.S. Patent No. 8,516,188).

Netlist filed a terminal disclaimer for the '608 patent on May 24, 2018, over the '035, '587, and '632 patents.

i. *Hyun Lee's and Jayesh Bhakta's Alleged Conception and Reduction to Practice, and Regular Attendance at JEDEC Meetings*

Hyun Lee continued to regularly attend JEDEC meetings for at least the JC-40 committee and the JC-45 committee in 2011 and 2012, as well as before and after that timeframe. For instance, on information and belief, Hyun Lee attended at least the following JC-40 meetings: No. 168, Dec. 8, 2011; No. 169, Mar. 5, 2012; and No. 170, June 4, 2012. And, on information and belief, Hyun Lee attended at least the following JC-45 meetings: No. 33, Dec. 7-8, 2011; No. 34, Mar. 5, 2012; and No. 35, June 4, 2012.

By virtue of his attendance at those meetings, active involvement in JEDEC and otherwise, on information and belief, Hyun Lee was aware of the draft and final specifications for DDR4 LRDIMMs being considered and voted upon by those committees, including JESD82-32, dated November 2016, as well as prior drafts of it. For example, Intel presented and discussed Committee Item Number 158.01 ("DDR4 LRDIMM Proposal") at the December 8, 2011 meeting of JC-40, Committee Item Number 0311.14 ("Proposed DDR4 DB Training Modes") at the March 25, 2012 meeting of JC-40, and Committee Item Number 0311.12 ("Proposed DDR DB Buffer Control Words") at the June 4, 2012 meeting of JC-40.

On information and belief, the JESD82-32 standard was circulated at or before, and discussed at and voted on at or before, JC-40 and JC-45 committee meetings that Hyun Lee attended.

On information and belief, draft Committee Item Number 158.01 was created by employees of Intel Corp. and circulated at or before, and discussed at, the JC-40 committee meeting on December 8, 2011 that Hyun Lee attended.

On information and belief, draft Committee Item Number 0311.14 was created by employees of Intel Corp. and circulated at or before, and discussed at, the JC-40 committee meeting on Mar. 5, 2012 that Hyun Lee attended.

On information and belief, draft Committee Item Number 0311.12 was created by employees of Intel Corp. and circulated at or before, and discussed at, the JC-40 committee meeting on June 4, 2012 that Hyun Lee attended.

By virtue of his attendance at those meetings, active involvement in JEDEC and otherwise, on information and belief, Hyun Lee was aware of the draft and final specifications for DDR4 LRDIMMs being considered and voted upon by those subcommittees.

Draft specifications, ballots and presentations distributed to the members of the JC-40 and/or JC-45 committees of JEDEC were distributed to many (20+) key members of the interested public with the expectation they would be freely disclosed to and discussed with others. As such, they are prior art under 35 U.S.C. § 102 at least as of those distribution dates.

Distributed specifications, such as JESD82-32, are prior art under 35 U.S.C. § 102 at least as of their release dates.

The provisional application to which the '608 patent claims priority was filed on July 12, 2012, naming Hyun Lee as the inventor. Jayesh R. Bhakta was named as a co-inventor after the provisional was filed.

On information and belief, and based on Netlist's apparent view of the scope of the alleged invention, each of the draft DDR4 LRDIMM drafts and presentations disclose materially the same structure and functionality that Netlist accuses of infringing the claims of '608 patent.

On information and belief, after attending the June 4, 2012 meeting and listening to these ideas from other members of JEDEC, Hyun Lee returned to Netlist and hurriedly completed

drafting the provisional application to which the '608 patent claims priority, naming himself as the inventor, and had a provisional application filed on July 27, 2012. Netlist filed a request to correct inventorship on September 26, 2012, adding Jay R. Bhakta as a co-inventor.

ii. '608 Patent Prosecution and Corresponding Events

Netlist filed the application, No. 15/820,076, that issued as the '608 patent on April 23, 2019.

On January 25, 2018, the examiner issued a Non-Final Rejection rejecting then-pending claim 1 for obviousness-type double patenting.

On May 24, 2018, Netlist filed an Amendment and Request for Reconsideration after Non-Final Rejection. Netlist's response included a Terminal Disclaimer and amended claim 1. Netlist added claims 2–12.

On July 3, 2018, the examiner issued a Notice of Allowance.

On October 3, 2018, only after the examiner issued a Notice of Allowance, Netlist filed a Request for Continued Examination and included two Information Disclosure Statement (IDS) Forms containing 18 and 29 sheets. JEDEC DDR LRDIMM drafts and specifications were not included.

On November 19, 2018, the examiner issued another Notice of Allowance.

On February 19, 2019, Netlist filed an Amendment after Allowance paid the issue fee for the application that issued as the '608 patent.

On February 28, 2019, the examiner accepted the Amendment.

On April 3, 2019, the examiner issued an issue date notification for the application that issued as the '608 patent. That notification specified an issue date of April 23, 2019.

Netlist took no action to withdraw the application that issued as the '608 patent from issuance, or to otherwise continue prosecution of that application, and the application issued as the '608 patent on April 23, 2019.

b. Netlist's Failure to Disclose the Drafts and Presentations for DDR4 LRDIMMs from the Dec. 8, 2011, Mar. 5, 2012, and June 4, 2012 JEDEC JC-40 Meetings Attended by Hyun Lee, and False Portrayal of Hyun Lee and Jayesh R. Bhakta as Inventors.

At least according to Netlist's characterizations of the inventive aspects of the disclosure and claims of the '608 patent, the draft specifications and related presentations for DDR4 LRDIMMs that were presented at the Dec. 8, 2011, Mar. 5, 2012, and June 4, 2012 meetings disclose the key allegedly inventive aspects of the claims of the '608 patent. These draft specifications and presentations include Committee Item Number 158.01 from the December 2011 meeting (Ex. B), Committee Item Number 0311.14 from the March 2012 meeting (Ex. C), and Committee Item Number 0311.12 from the June 2012 meeting (Ex. D).

Even though at least Hyun Lee was present at the JEDEC meeting at which those presentations were made, witnessed those presentations, and was present for the discussions that occurred about them, and immediately thereafter drafted the provisional application to which the '608 patent claims priority, on information and belief, Hyun Lee did not disclose any of these drafts and the presentations to the examiner of the application that issued as the '608 patent.

Those drafts and presentations are prior art under 35 U.S.C. § 102 at least as of the meeting in which they were presented and discussed, and are not cumulative of other art or information before the examiner of the application that issued as the '608 patent.

Those drafts and presentations also illustrate that Hyun Lee falsely portrayed himself and Jayesh R. Bhakta as the inventors of the alleged inventions claimed in the '608 patent. At a minimum, those drafts and presentations illustrate that Hyun Lee, based on Netlist's

infringement allegations, derived at least portions of the allegedly inventive aspects of the claimed inventions from others in attendance at the JC-40 meetings, who made those presentations on behalf of Intel, among others.

The Patent Office would not have allowed at least one claim of the '608 patent to issue had it been aware of those drafts and presentations, at least because it would have found the claims obvious over those drafts and presentations (either in combination with the knowledge of one of ordinary skill, in combination with art disclosing the basic architecture and functionality of DDR4 LRDIMMs), that there are joint inventors that were not properly named on the applications that issued as the '608 patent, and/or that the alleged inventions claimed therein had been derived in whole or in part from another.

The drafts and presentations that Hyun Lee failed to disclose to the Patent Office were not cumulative of other art before the examiner. The examiner stated that he allowed the claims because the art of record did not teach or suggest:

a memory module system with a plurality of buffers mounted in positions corresponding to the respective sets of data/strobe signal lines, each buffer providing data paths between the data/strobe signal lines and a set of memory devices and including logic that responds to control signals by enabling the data paths corresponding to memory operations between the data/strobe signal lines and the memory devices, wherein the logic is configured to obtain timing information based on signals received by the buffers during a second memory operation prior to the first memory operation and to control timing of the data and strobe signals on the data paths in accordance with the obtained timing information as claimed.

November 19, 2018 Notice of Allowability at 3. Aside from the fact that the '608 patent claims do not include what the examiner stated the prior art does not teach or suggest, the withheld drafts and presentations for DDR4 LRDIMM explained the structure and operation of DDR4 LRDIMM devices, which Netlist argues practice the claims of the '608 patent. For example,

Committee Item Number 158.01 shows the accused DDR4 LRDIMM's structure. Moreover, the draft specifications, including Committee Item No. 311.14, explain DDR LRDIMM's training modes including read delay training and timing delays for the buffers. Netlist argues that these training modes are used to determine the claimed timing information "to delay a signal through the data path by an amount determined by the command processing circuit." Dkt. No. 100 ¶¶ 77-78.

On information and belief, Hyun Lee specifically intended to deceive the Patent Office into believing that Hyun Lee and Jayesh R. Bhakta were the sole inventors of the '608 patent, and/or that the claims of those patents are otherwise patentable, by withholding those drafts and presentations from the patent examiner during prosecution of the application that issued as the '608 patent. On information and belief, Hyun Lee engaged in this conduct as part of a scheme to monetize Netlist's patents through litigation against the industry.

* * * *

Inequitable Conduct: Any one or more acts set forth above are sufficient in and of itself/themselves to demonstrate that Netlist committed inequitable conduct during the reexamination of the '912 patent that renders the '912 patent unenforceable and that Netlist committed inequitable conduct during the prosecution of the '608 patent that renders the '608 patent unenforceable.

Unclean Hands: Furthermore, any one or more acts set forth above are sufficient in and of itself/themselves to demonstrate that Netlist has unclean hands in relation to its assertion of the '912 patent that renders the '912 patent unenforceable and that Netlist has unclean hands in relation to its assertion of the '608 patent that renders the '608 patent unenforceable.

In addition, all of the Patents-In-Suit are unenforceable due to unclean hands based on Netlist's litigation misconduct. By way of example, Netlist's misconduct arises from its repeated treatment of the Patents-In-Suit as essential to practicing JEDEC standards, including in its Third Amended Complaint and its infringement contentions, which exclusively rely on standardized features. Despite this, Netlist has refused to take a position on essentiality in discovery, prejudicing Samsung's ability to develop its defenses and damages positions.

THIRTEENTH ADDITIONAL DEFENSE
(Intervening Rights)

Samsung incorporates by reference the facts set forth above concerning its Twelfth Additional Defense. Netlist's claims are barred, in whole or in part, under principles of intervening rights. During reexamination of the '912 patent, Netlist canceled original claims 2, 5, 7, 9, 21, 23, 25, 26, 30, 33, 42, 44, and 51; amended claims 1, 15, 16, 28, 39, 43; and added new claims 52-91. Claims 3, 4, 6, 8, 10-14, 17-20, 22, 24, 27, 29, 31, 32, 34-38, 40, 41, and 45-50 were deemed patentable because of their dependence on amended claims. The Patent Office issued an *Inter Partes* Reexamination Certification for the '912 patent on February 8, 2021. In light of these amendments and Netlist's arguments during the reexamination proceedings, all remaining claims of the '912 patent are amended or new and, as Netlist itself argued to the Federal Circuit, have substantively narrower scope from the originally issued claims of the '912 patent.

In light of Netlist's amendments, additions, and arguments, the making, using, selling, offering for sale, and/or importing of Samsung's Accused Products are protected by absolute and/or equitable intervening rights. In particular, to the extent that Netlist's allegations are based on Samsung's Accused Products that were made, used, offered for sale, sold, and/or imported prior to the issuance of the reexamination certificate, absolute intervening rights bar any liability

for infringement with respect to such products. Further, to the extent Netlist's accusations are based on Samsung's Accused Products that were made, used, offered for sale, sole, and/or imported after the issuance of the reexamination certificate, the doctrine of equitable intervening rights applies, at least because Samsung made substantial preparation of those products prior to issuance of the certificate (including, for example, research and development activities and manufacturing activities pursuant to existing orders and contracts). Accordingly, Netlist's infringement allegations are barred in whole or in part by absolute and/or equitable intervening rights under 35 U.S.C. §§ 252 and 307(b).

FOURTEENTH ADDITIONAL DEFENSE
(Res Judicata/Claim Preclusion)

Netlist is barred by res judicata and/or claim splitting from asserting the '608 patent against Samsung. In *Netlist, Inc. v. Samsung Elecs. Co., Ltd.*, No. 2:21-CV-00463-JRG (E.D. Tex.) ("*Netlist I*"), Netlist asserted the '506 patent against Samsung. The '506 patent is the child patent of the '608 patent and its claims are essentially the same as the '608 patent's. Indeed, during prosecution, the Examiner rejected the '506 patent claims on the grounds of double patenting compared to the claims of the '608 patent. See January 10, 2020 Non-Final Rejection ('506 Patent Prosecution History) at 3-5. Only after a terminal disclaimer was filed did the Examiner allow the claims of the '506 patent. Netlist asserted the '506 patent against Samsung up to and through the pre-trial order of March 20, 2023 in *Netlist I*. See *Netlist I*, Dkt. 398 at 11. On April 4, 2023, on the eve of trial, Netlist decided not to present the '506 patent and its claims at trial. See *Netlist I*, Dkt. 431 at 1. Therefore, Netlist failed to prove to the jury and court that Samsung infringed the '506 patent in *Netlist I*. Netlist did not request that its '506 patent claims be dismissed without prejudice or that they be severed and stayed prior to trial. Consequently, at least the final judgment in *Netlist I* denied Netlist's infringement claim of the '506 patent against

Samsung. *See Netlist I*, Dkt. 551 (“All other requests for relief now pending and requested by either party but not specifically addressed herein are DENIED.”). Given the similarity in the two patents’ claims, Netlist is precluded from asserting the ’608 patent against Samsung.

RESERVATION OF ADDITIONAL DEFENSES

Samsung reserves the right to assert additional defenses that may surface through discovery in this action.

Date: September 11, 2023

Respectfully submitted,

s/ Francis J. Albert

Ruffin B. Cordell
TX Bar No. 04820550
cordell@fr.com
Michael J. McKeon
D.C. Bar No. 459780
mckeon@fr.com
Lauren A. Degnan
D.C. Bar No. 452421
degnan@fr.com
Brian Livedalen
DC Bar No. 1002699
livedalen@fr.com
Daniel A. Tishman
DC Bar No. 1013923
tishman@fr.com
FISH & RICHARDSON P.C.
1000 Maine Avenue, SW
Washington, DC 20024
Telephone: (202) 783-5070
Facsimile: (202) 783-2331

Francis J. Albert
CA Bar No. 247741
albert@fr.com
FISH & RICHARDSON P.C.
12860 El Camino Real, Ste. 400
San Diego, CA 92130
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

Katherine H. Reardon
NY Bar No. 5196910
kreardon@fr.com
FISH & RICHARDSON P.C.
1180 Peachtree St., NE, 21st Floor
Atlanta, GA 30309
Telephone: 404-892-5005
Facsimile: 404-892-5002

Kathryn Quisenberry
TX Bar No. 24105639
quisenberry@fr.com
FISH & RICHARDSON P.C.
909 Fannin Street Suite 2100
Houston, TX 77010
Telephone: (713) 654-5300

Thomas H. Reger II
Texas Bar No. 24032992
Reger@fr.com
FISH & RICHARDSON P.C.
1717 Main Street, Suite 5000
Dallas, Texas 75201
Telephone: 214-747-5070
Facsimile: 214-747-2091

Melissa Richards Smith
melissa@gillamsmith.com
GILLAM & SMITH, LLP
303 South Washington Ave.
Marshall, Texas 75670
Telephone: (903) 934-8450
Facsimile: (903) 934-9257

Brian R. Nester
D.C. Bar No. 460225
bnester@cov.com
COVINGTON & BURLING LLP
One CityCenter 850 Tenth Street, N
Washington, DC 20001-4956
Telephone: (202)-662-6000

Alice J. Ahn
CA Bar No. 271399/DC Bar No. 1004350
aahn@cov.com
COVINGTON & BURLING LLP
415 Mission Street, Suite 5400
San Francisco, CA 94105
Telephone: (415) 591-7091
Facsimile: (415) 955-6571

*Attorneys for Defendants Samsung Electronics
Co., Ltd.; Samsung Electronics America, Inc.;
and Samsung Semiconductor, Inc.*

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing document was filed electronically in compliance with Local Rule CV-5 on September 11, 2023. As of this date, all counsel of record have consented to electronic service and are being served with a copy of this document through the Court's CM/ECF system under Local Rule CV-5(a)(3)(A).

/s/ Francis J. Albert